This brochure supplement provides information about Travis Kauzer that supplements the Kauzer Capital Management brochure. You should have received a copy of that brochure. Please contact Travis Kauzer if you did not receive Kauzer Capital Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Travis Kauzer is also available on the SEC's website at www.adviserinfo.sec.gov.

# Kauzer Capital Management

Form ADV Part 2B - Individual Disclosure Brochure

for

### **Travis Kauzer**

Personal CRD Number: 5554975 Investment Adviser Representative

> Kauzer Capital Management 2121 N CALIFORNIA BLVD STE 290 WALNUT CREEK, CA 94596 (925) 360-7852 travis.kauzer@gmail.com

# Item 2: Educational Background and Business Experience

Name: Travis Kauzer Born: 1987

### **Educational Background and Professional Designations:**

#### **Education:**

BS Finance, California State University, Chico - 2010

### **Business Background:**

04/2025 - Present	Chief Compliance Officer Kauzer Capital Management
10/2023 - Present	President Kauzer Capital Management
08/2022 - 10/2023	Vice President The Grupe Company
10/2014 - 08/2022	Managing Director Fort Point Capital Partners
05/2010 - 10/2014	Investment Counselor Fisher Investments

# **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Travis Kauzer has ownership in several entities that hold real estate. These are for personal investment and therefore, no conflicts of interest exist.

# **Item 5: Additional Compensation**

Travis Kauzer does not receive any economic benefit from any person, company, or organization, other than Kauzer Capital Management in exchange for providing clients advisory services through Kauzer Capital Management.

### **Item 6: Supervision**

As the Chief Compliance Officer of Kauzer Capital Management, Travis Kauzer supervises all activities of the firm. Travis Kauzer's contact information is on the cover page of this disclosure document. Travis Kauzer adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

# Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Travis Kauzer has NOT been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  - 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Travis Kauzer has NOT been the subject of a bankruptcy.